


20 MAR 2006

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Tatum et al.			Docket No. 15436.624.1	
Application No. 11/085,434	Filing Date March 21, 2005	Examiner Phan T. H. Palmer	Customer No. 022913	Group Art Unit 2874
Invention: METHODS AND APPARATUS FOR OPTICAL COMPONENT IDENTIFICATION				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"><p>Response to Restriction Requirement (2 pgs); Transmittal Letter (1 pg in duplicate); and postcard</p></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>March 20, 2006</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u>Gina Meredith</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p><u></u> <i>(Signature of Person Mailing Correspondence)</i></p><p><u>EV 758426198 US</u> <i>("Express Mail" Mailing Label Number)</i></p></div>				
<p><b>Note: Each paper must have its own certificate of mailing.</b></p>				

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
15436.624.1

In Re Application Of: **Tatum et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
11/085,434	March 21, 2005	Phan T. H. Palmer	022913	2874	4032

Title: **METHODS AND APPARATUS FOR OPTICAL COMPONENT IDENTIFICATION**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Response to Restriction Requirement (2 pgs); Certificate of Mailing by "Express Mail" and postcard**

in the above identified application.

- ☒ No additional fee is required.
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- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-3178** as described below.
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- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
Signature

Dated: March 20, 2006

Peter F. Malen, Jr.  
Attorney for Applicants  
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Telephone No. 801-533-9800

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

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CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Tatum et al.	)	
		)	
Serial No.:	11/085,434	)	Art Unit
		)	2874
Filed:	March 21, 2005	)	
		)	
Conf. No.:	4032	)	
		)	
For:	METHODS AND APPARATUS FOR OPTICAL COMPONENT IDENTIFICATION	)	
		)	
Examiner:	Phan T. H. Palmer	)	
		)	
Customer No.:	022913	)	

**MAIL STOP: AMENDMENT**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**RESPONSE TO RESTRICTION REQUIREMENT**

Dear Sir:

In the Office Action mailed February 23, 2006 (the "Office Action"), the Examiner set forth a Restriction Requirement that identified what the Examiner has characterized as two (2) distinct inventions. In particular, the Examiner suggested in the Office Action that the present application contains claims directed to the following two inventions:

- I. - Claims 1-16, drawn to an optical subassembly, classified in class 385, subclass 88+; and
- II. - Claims 17-26, drawn to a method for manufacturing a fiber optic component, classified in class 65, subclass 386+.

In response to the Restriction Requirement set forth in the Office Action, Applicant hereby elects Invention I, (claims 1-16) without traverse.

Applicant notes that while the Examiner has characterized claims 24-26, among others, as being directed to a "method," claim 24 is directed to a "fiber optic component," claim 25 is directed to a "transceiver" and claim 26 is directed to an "optical subassembly."

Applicant notes further that while the election set forth herein is made without traverse, the mere fact that no traverse is made should not be construed as an assessment or judgment by the Applicant as to the merits, if any, of the characterization of the claims and inventions advanced by the Examiner in the restriction requirement set forth in the Office Action; or, any other assertions, allegations, statements or characterizations made by the Examiner in that restriction requirement.

An action on the merits of elected claims 1-16 and a Notice of Allowance thereof are respectfully requested.

DATED this 20<sup>TH</sup> day of March 2006.

Respectfully submitted,



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